

LINEAR ALGEBRA with Applications

Open Edition



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Adapted for

Emory University

Math 221

Linear Algebra

Sections 1 & 2 Lectured and adapted by

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April 15, 2021

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Course page
http://math.emory.edu/~lchen41/teaching/2021_Spring_Math221

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7.2 Kernel and Image of a Linear Transformation

This section is devoted to two important subspaces associated with a linear transformation $T: V \to W$.

Definition 7.2 Kernel and Image of a Linear Transformation

The **kernel** of T (denoted ker T) and the **image** of T (denoted im T or T(V)) are defined by

ker $T = \{ \mathbf{v} \text{ in } V \mid T(\mathbf{v}) = \mathbf{0} \}$ im $T = \{ T(\mathbf{v}) \mid \mathbf{v} \text{ in } V \} = T(V)$



The kernel of T is often called the **nullspace** of T because it consists of all vectors \mathbf{v} in V satisfying the *condition* that $T(\mathbf{v}) = \mathbf{0}$. The image of T is often called the **range** of T and consists of all vectors \mathbf{w} in Wof the *form* $\mathbf{w} = T(\mathbf{v})$ for some \mathbf{v} in V. These subspaces are depicted in the diagrams.

Example 7.2.1

Let $T_A : \mathbb{R}^n \to \mathbb{R}^m$ be the linear transformation induced by the $m \times n$ matrix A, that is $T_A(\mathbf{x}) = A\mathbf{x}$ for all columns \mathbf{x} in \mathbb{R}^n . Then

> ker $T_A = \{\mathbf{x} \mid A\mathbf{x} = \mathbf{0}\} = \text{null } A$ and im $T_A = \{A\mathbf{x} \mid \mathbf{x} \text{ in } \mathbb{R}^n\} = \text{im } A$

Hence the following theorem extends Example 5.1.2.

Theorem 7.2.1

Let $T:V\to W$ be a linear transformation.

- 1. ker T is a subspace of V.
- 2. im T is a subspace of W.

<u>**Proof.**</u> The fact that T(0) = 0 shows that ker T and im T contain the zero vector of V and W respectively.

1. If **v** and **v**₁ lie in ker *T*, then $T(\mathbf{v}) = \mathbf{0} = T(\mathbf{v}_1)$, so

$$T(\mathbf{v} + \mathbf{v}_1) = T(\mathbf{v}) + T(\mathbf{v}_1) = \mathbf{0} + \mathbf{0} = \mathbf{0}$$
$$T(r\mathbf{v}) = rT(\mathbf{v}) = r\mathbf{0} = \mathbf{0} \text{ for all } r \text{ in } \mathbb{R}$$

Hence $\mathbf{v} + \mathbf{v}_1$ and $r\mathbf{v}$ lie in ker T (they satisfy the required condition), so ker T is a subspace of V by the subspace test (Theorem 6.2.1).

2. If w and \mathbf{w}_1 lie in im T, write $\mathbf{w} = T(\mathbf{v})$ and $\mathbf{w}_1 = T(\mathbf{v}_1)$ where $\mathbf{v}, \mathbf{v}_1 \in V$. Then

$$\mathbf{w} + \mathbf{w}_1 = T(\mathbf{v}) + T(\mathbf{v}_1) = T(\mathbf{v} + \mathbf{v}_1)$$
$$r\mathbf{w} = rT(\mathbf{v}) = T(r\mathbf{v}) \quad \text{for all } r \text{ in } \mathbb{R}$$

Hence $\mathbf{w} + \mathbf{w}_1$ and $r\mathbf{w}$ both lie in $\operatorname{im} T$ (they have the required form), so $\operatorname{im} T$ is a subspace of W.

Given a linear transformation $T: V \to W$:

dim (ker T) is called the **nullity** of T and denoted as nullity(T)dim (im T) is called the **rank** of T and denoted as rank(T)

The rank of a matrix A was defined earlier to be the dimension of col A, the column space of A. The two usages of the word *rank* are consistent in the following sense. Recall the definition of T_A in Example 7.2.1.

Example 7.2.2 Given an $m \times n$ matrix A, show that im $T_A = \operatorname{col} A$, so rank $T_A = \operatorname{rank} A$. Solution. Write $A = \begin{bmatrix} \mathbf{c}_1 & \cdots & \mathbf{c}_n \end{bmatrix}$ in terms of its columns. Then $\operatorname{im} T_A = \{A\mathbf{x} \mid \mathbf{x} \text{ in } \mathbb{R}^n\} = \{x_1\mathbf{c}_1 + \cdots + x_n\mathbf{c}_n \mid x_i \text{ in } \mathbb{R}\}$ using Definition 2.5. Hence im T_A is the column space of A; the rest follows.

Often, a useful way to study a subspace of a vector space is to exhibit it as the kernel or image of a linear transformation. Here is an example.

Example 7.2.3

Define a transformation $P: \mathbf{M}_{nn} \to \mathbf{M}_{nn}$ by $P(A) = A - A^T$ for all A in \mathbf{M}_{nn} . Show that P is linear and that:

- a. ker P consists of all symmetric matrices.
- b. im *P* consists of all skew-symmetric matrices.

<u>Solution</u>. The verification that P is linear is left to the reader. To prove part (a), note that a matrix A lies in ker P just when $0 = P(A) = A - A^T$, and this occurs if and only if $A = A^T$ —that is, A is symmetric. Turning to part (b), the space im P consists of all matrices P(A), A in \mathbf{M}_{nn} . Every such matrix is skew-symmetric because

$$P(A)^{T} = (A - A^{T})^{T} = A^{T} - A = -P(A)$$

On the other hand, if S is skew-symmetric (that is, $S^T = -S$), then S lies in im P. In fact,

$$P\left[\frac{1}{2}S\right] = \frac{1}{2}S - \left[\frac{1}{2}S\right]^{T} = \frac{1}{2}(S - S^{T}) = \frac{1}{2}(S + S) = S$$

One-to-One and Onto Transformations

Definition 7.3 One-to-one and Onto Linear Transformations

Let $T: V \to W$ be a linear transformation.

- 1. T is said to be **onto** if im T = W.
- 2. *T* is said to be **one-to-one** if $T(\mathbf{v}) = T(\mathbf{v}_1)$ implies $\mathbf{v} = \mathbf{v}_1$.

A vector **w** in *W* is said to be **hit** by *T* if $\mathbf{w} = T(\mathbf{v})$ for some **v** in *V*. Then *T* is onto if every vector in *W* is hit at least once, and *T* is one-to-one if no element of *W* gets hit twice. Clearly the onto transformations *T* are those for which im T = W is as large a subspace of *W* as possible. By contrast, Theorem 7.2.2 shows that the one-to-one transformations *T* are the ones with ker *T* as *small* a subspace of *V* as possible.

Theorem 7.2.2

If $T: V \to W$ is a linear transformation, then T is one-to-one if and only if ker $T = \{0\}$.

<u>**Proof.**</u> If *T* is one-to-one, let **v** be any vector in ker *T*. Then $T(\mathbf{v}) = \mathbf{0}$, so $T(\mathbf{v}) = T(\mathbf{0})$. Hence $\mathbf{v} = \mathbf{0}$ because *T* is one-to-one. Hence ker $T = \{\mathbf{0}\}$.

Conversely, assume that ker $T = \{0\}$ and let $T(\mathbf{v}) = T(\mathbf{v}_1)$ with \mathbf{v} and \mathbf{v}_1 in V. Then $T(\mathbf{v} - \mathbf{v}_1) = T(\mathbf{v}) - T(\mathbf{v}_1) = \mathbf{0}$, so $\mathbf{v} - \mathbf{v}_1$ lies in ker $T = \{\mathbf{0}\}$. This means that $\mathbf{v} - \mathbf{v}_1 = \mathbf{0}$, so $\mathbf{v} = \mathbf{v}_1$, proving that T is one-to-one.

Example 7.2.4

The identity transformation $1_V: V \to V$ is both one-to-one and onto for any vector space V.

Example 7.2.5

Consider the linear transformations

$$S: \mathbb{R}^3 \to \mathbb{R}^2 \quad \text{given by } S(x, y, z) = (x+y, x-y)$$
$$T: \mathbb{R}^2 \to \mathbb{R}^3 \quad \text{given by } T(x, y) = (x+y, x-y, x)$$

Show that T is one-to-one but not onto, whereas S is onto but not one-to-one.

<u>Solution</u>. The verification that they are linear is omitted. T is one-to-one because

ker
$$T = \{(x, y) | x + y = x - y = x = 0\} = \{(0, 0)\}$$

However, it is not onto. For example (0, 0, 1) does not lie in im *T* because if (0, 0, 1) = (x+y, x-y, x) for some *x* and *y*, then x+y=0=x-y and x=1, an impossibility. Turning to *S*, it is not one-to-one by Theorem 7.2.2 because (0, 0, 1) lies in ker *S*. But every element (s, t) in \mathbb{R}^2 lies in im *S* because (s, t) = (x+y, x-y) = S(x, y, z) for some *x*, *y*, and *z* (in fact, $x = \frac{1}{2}(s+t)$, $y = \frac{1}{2}(s-t)$, and z = 0). Hence *S* is onto.

Example 7.2.6

Let U be an invertible $m \times m$ matrix and define

 $T: \mathbf{M}_{mn} \to \mathbf{M}_{mn}$ by T(X) = UX for all X in \mathbf{M}_{mn}

Show that T is a linear transformation that is both one-to-one and onto.

Solution. The verification that T is linear is left to the reader. To see that T is one-to-one, let T(X) = 0. Then UX = 0, so left-multiplication by U^{-1} gives X = 0. Hence ker $T = \{0\}$, so T is one-to-one. Finally, if Y is any member of \mathbf{M}_{mn} , then $U^{-1}Y$ lies in \mathbf{M}_{mn} too, and $T(U^{-1}Y) = U(U^{-1}Y) = Y$. This shows that T is onto.

The linear transformations $\mathbb{R}^n \to \mathbb{R}^m$ all have the form T_A for some $m \times n$ matrix A (Theorem 2.6.2). The next theorem gives conditions under which they are onto or one-to-one. Note the connection with Theorem 5.4.3 and Theorem 5.4.4.

Theorem 7.2.3

Let A be an $m \times n$ matrix, and let $T_A : \mathbb{R}^n \to \mathbb{R}^m$ be the linear transformation induced by A, that is $T_A(\mathbf{x}) = A\mathbf{x}$ for all columns \mathbf{x} in \mathbb{R}^n .

- 1. T_A is onto if and only if rank A = m.
- 2. T_A is one-to-one if and only if rank A = n.

Proof.

- 1. We have that $\operatorname{im} T_A$ is the column space of A (see Example 7.2.2), so T_A is onto if and only if the column space of A is \mathbb{R}^m . Because the rank of A is the dimension of the column space, this holds if and only if rank A = m.
- 2. ker $T_A = \{\mathbf{x} \text{ in } \mathbb{R}^n \mid A\mathbf{x} = \mathbf{0}\}$, so (using Theorem 7.2.2) T_A is one-to-one if and only if $A\mathbf{x} = \mathbf{0}$ implies $\mathbf{x} = \mathbf{0}$. This is equivalent to rank A = n by Theorem 5.4.3.

The Dimension Theorem

Let A denote an $m \times n$ matrix of rank r and let $T_A : \mathbb{R}^n \to \mathbb{R}^m$ denote the corresponding matrix transformation given by $T_A(\mathbf{x}) = A\mathbf{x}$ for all columns \mathbf{x} in \mathbb{R}^n . It follows from Example 7.2.1 and Example 7.2.2 that im $T_A = \operatorname{col} A$, so dim (im T_A) = dim (col A) = r. On the other hand Theorem 5.4.2 shows that dim (ker T_A) = dim (null A) = n - r. Combining these we see that

 $\dim(\operatorname{im} T_A) + \dim(\ker T_A) = n$ for every $m \times n$ matrix A

The main result of this section is a deep generalization of this observation.

Theorem 7.2.4: Dimension Theorem

Let $T: V \to W$ be any linear transformation and assume that ker T and im T are both finite dimensional. Then V is also finite dimensional and

 $\dim V = \dim (\ker T) + \dim (\operatorname{im} T)$

In other words, dim V = nullity(T) + rank(T).

Proof. Every vector in $\operatorname{im} T = T(V)$ has the form $T(\mathbf{v})$ for some \mathbf{v} in V. Hence let $\{T(\mathbf{e}_1), T(\mathbf{e}_2), \ldots, T(\mathbf{e}_r)\}$ be a basis of $\operatorname{im} T$, where the \mathbf{e}_i lie in V. Let $\{\mathbf{f}_1, \mathbf{f}_2, \ldots, \mathbf{f}_k\}$ be any basis of ker T. Then $\dim(\operatorname{im} T) = r$ and $\dim(\ker T) = k$, so it suffices to show that $B = \{\mathbf{e}_1, \ldots, \mathbf{e}_r, \mathbf{f}_1, \ldots, \mathbf{f}_k\}$ is a basis of V.

1. B spans V. If v lies in V, then T(v) lies in im V, so

$$T(\mathbf{v}) = t_1 T(\mathbf{e}_1) + t_2 T(\mathbf{e}_2) + \dots + t_r T(\mathbf{e}_r) \quad t_i \text{ in } \mathbb{R}$$

This implies that $\mathbf{v} - t_1 \mathbf{e}_1 - t_2 \mathbf{e}_2 - \cdots - t_r \mathbf{e}_r$ lies in ker *T* and so is a linear combination of $\mathbf{f}_1, \ldots, \mathbf{f}_k$. Hence \mathbf{v} is a linear combination of the vectors in *B*.

2. B is linearly independent. Suppose that t_i and s_j in \mathbb{R} satisfy

$$t_1\mathbf{e}_1 + \dots + t_r\mathbf{e}_r + s_1\mathbf{f}_1 + \dots + s_k\mathbf{f}_k = \mathbf{0}$$

$$(7.1)$$

Applying T gives $t_1T(\mathbf{e}_1) + \cdots + t_rT(\mathbf{e}_r) = \mathbf{0}$ (because $T(\mathbf{f}_i) = \mathbf{0}$ for each i). Hence the independence of $\{T(\mathbf{e}_1), \ldots, T(\mathbf{e}_r)\}$ yields $t_1 = \cdots = t_r = \mathbf{0}$. But then (7.1) becomes

$$s_1\mathbf{f}_1+\cdots+s_k\mathbf{f}_k=\mathbf{0}$$

so $s_1 = \cdots = s_k = 0$ by the independence of $\{\mathbf{f}_1, \ldots, \mathbf{f}_k\}$. This proves that B is linearly independent.

Note that the vector space V is not assumed to be finite dimensional in Theorem 7.2.4. In fact, verifying that ker T and im T are both finite dimensional is often an important way to prove that V is finite dimensional.

Note further that r + k = n in the proof so, after relabelling, we end up with a basis

$$B = \{e_1, e_2, \dots, e_r, e_{r+1}, \dots, e_n\}$$

of V with the property that $\{\mathbf{e}_{r+1}, \ldots, \mathbf{e}_n\}$ is a basis of ker T and $\{T(\mathbf{e}_1), \ldots, T(\mathbf{e}_r)\}$ is a basis of im T. In fact, if V is known in advance to be finite dimensional, then any basis $\{\mathbf{e}_{r+1}, \ldots, \mathbf{e}_n\}$ of ker T can be extended to a basis $\{\mathbf{e}_1, \mathbf{e}_2, \ldots, \mathbf{e}_r, \mathbf{e}_{r+1}, \ldots, \mathbf{e}_n\}$ of V by Theorem 6.4.1. Moreover, it turns out that, no matter how this is done, the vectors $\{T(\mathbf{e}_1), \ldots, T(\mathbf{e}_r)\}$ will be a basis of im T. This result is useful, and we record it for reference. The proof is much like that of Theorem 7.2.4 and is left as Exercise 7.2.26.

Theorem 7.2.5

Let $T: V \to W$ be a linear transformation, and let $\{\mathbf{e}_1, \ldots, \mathbf{e}_r, \mathbf{e}_{r+1}, \ldots, \mathbf{e}_n\}$ be a basis of V such that $\{\mathbf{e}_{r+1}, \ldots, \mathbf{e}_n\}$ is a basis of ker T. Then $\{T(\mathbf{e}_1), \ldots, T(\mathbf{e}_r)\}$ is a basis of im T, and hence $r = \operatorname{rank} T$.

The dimension theorem is one of the most useful results in all of linear algebra. It shows that if either $\dim(\ker T)$ or $\dim(\operatorname{im} T)$ can be found, then the other is automatically known. In many cases it is easier to compute one than the other, so the theorem is a real asset. The rest of this section is devoted to illustrations of this fact. The next example uses the dimension theorem to give a different proof of the first part of Theorem 5.4.2.

Example 7.2.7

Let A be an $m \times n$ matrix of rank r. Show that the space null A of all solutions of the system $A\mathbf{x} = \mathbf{0}$ of m homogeneous equations in n variables has dimension n - r.

<u>Solution</u>. The space in question is just ker T_A , where $T_A : \mathbb{R}^n \to \mathbb{R}^m$ is defined by $T_A(\mathbf{x}) = A\mathbf{x}$ for all columns \mathbf{x} in \mathbb{R}^n . But dim (im T_A) = rank T_A = rank A = r by Example 7.2.2, so dim (ker T_A) = n - r by the dimension theorem.

Example 7.2.8

If $T: V \to W$ is a linear transformation where V is finite dimensional, then

 $\dim(\ker T) \leq \dim V$ and $\dim(\operatorname{im} T) \leq \dim V$

Indeed, dim $V = \dim(\ker T) + \dim(\operatorname{im} T)$ by Theorem 7.2.4. Of course, the first inequality also follows because ker T is a subspace of V.

Example 7.2.9

Let $D: \mathbf{P}_n \to \mathbf{P}_{n-1}$ be the differentiation map defined by D[p(x)] = p'(x). Compute ker D and hence conclude that D is onto.

<u>Solution</u>. Because p'(x) = 0 means p(x) is constant, we have dim (ker D) = 1. Since dim $\mathbf{P}_n = n + 1$, the dimension theorem gives

 $\dim(\operatorname{im} D) = (n+1) - \dim(\ker D) = n = \dim(\mathbf{P}_{n-1})$

This implies that $\operatorname{im} D = \mathbf{P}_{n-1}$, so D is onto.

Of course it is not difficult to verify directly that each polynomial q(x) in \mathbf{P}_{n-1} is the derivative of some polynomial in \mathbf{P}_n (simply integrate q(x)!), so the dimension theorem is not needed in this case. However, in some situations it is difficult to see directly that a linear transformation is onto, and the method used in Example 7.2.9 may be by far the easiest way to prove it. Here is another illustration.

Example 7.2.10

Given a in \mathbb{R} , the evaluation map $E_a : \mathbf{P}_n \to \mathbb{R}$ is given by $E_a[p(x)] = p(a)$. Show that E_a is linear and onto, and hence conclude that $\{(x-a), (x-a)^2, \ldots, (x-a)^n\}$ is a basis of ker E_a , the subspace of all polynomials p(x) for which p(a) = 0.

<u>Solution</u>. E_a is linear by Example 7.1.3; the verification that it is onto is left to the reader. Hence dim (im E_a) = dim (\mathbb{R}) = 1, so dim (ker E_a) = (n+1) - 1 = n by the dimension theorem. Now each of the *n* polynomials $(x-a), (x-a)^2, \ldots, (x-a)^n$ clearly lies in ker E_a , and they are linearly independent (they have distinct degrees). Hence they are a basis because dim (ker E_a) = n.

We conclude by applying the dimension theorem to the rank of a matrix.

Example 7.2.11

If A is any $m \times n$ matrix, show that rank $A = \operatorname{rank} A^T A = \operatorname{rank} A A^T$.

<u>Solution</u>. It suffices to show that rank $A = \operatorname{rank} A^T A$ (the rest follows by replacing A with A^T). Write $B = A^T A$, and consider the associated matrix transformations

 $T_A: \mathbb{R}^n \to \mathbb{R}^m$ and $T_B: \mathbb{R}^n \to \mathbb{R}^n$

The dimension theorem and Example 7.2.2 give

rank A = rank T_A = dim (im T_A) = n - dim (ker T_A) rank B = rank T_B = dim (im T_B) = n - dim (ker T_B)

so it suffices to show that ker $T_A = \text{ker } T_B$. Now $A\mathbf{x} = \mathbf{0}$ implies that $B\mathbf{x} = A^T A \mathbf{x} = \mathbf{0}$, so ker T_A is contained in ker T_B . On the other hand, if $B\mathbf{x} = \mathbf{0}$, then $A^T A \mathbf{x} = \mathbf{0}$, so

$$||A\mathbf{x}||^2 = (A\mathbf{x})^T (A\mathbf{x}) = \mathbf{x}^T A^T A \mathbf{x} = \mathbf{x}^T \mathbf{0} = 0$$

This implies that $A\mathbf{x} = \mathbf{0}$, so ker T_B is contained in ker T_A .

Exercises for 7.2

Exercise 7.2.1 For each matrix A, find a basis for the kernel and image of T_A , and find the rank and nullity of T_A .

a)
$$\begin{bmatrix} 1 & 2 & -1 & 1 \\ 3 & 1 & 0 & 2 \\ 1 & -3 & 2 & 0 \end{bmatrix}$$
 b) $\begin{bmatrix} 2 & 1 & -1 & 3 \\ 1 & 0 & 3 & 1 \\ 1 & 1 & -4 & 2 \end{bmatrix}$
c) $\begin{bmatrix} 1 & 2 & -1 \\ 3 & 1 & 2 \\ 4 & -1 & 5 \\ 0 & 2 & -2 \end{bmatrix}$ d) $\begin{bmatrix} 2 & 1 & 0 \\ 1 & -1 & 3 \\ 1 & 2 & -3 \\ 0 & 3 & -6 \end{bmatrix}$

$$\begin{cases} \begin{bmatrix} -3\\7\\1\\0 \end{bmatrix}, \begin{bmatrix} 1\\1\\0\\-1 \end{bmatrix} \\ ; \left\{ \begin{bmatrix} 1\\0\\1 \end{bmatrix}, \begin{bmatrix} 0\\1\\-1 \end{bmatrix} \right\}; 2, 2 \\ d. \left\{ \begin{bmatrix} -1\\2\\1 \end{bmatrix} \right\}; \left\{ \begin{bmatrix} 1\\0\\1\\1 \end{bmatrix}, \begin{bmatrix} 0\\1\\-1 \end{bmatrix} \right\}; 2, 1 \end{cases}$$

Exercise 7.2.2 In each case, (i) find a basis of ker T, and (ii) find a basis of im T. You may assume that T is linear.

a. $T: \mathbf{P}_2 \to \mathbb{R}^2$; $T(a+bx+cx^2) = (a, b)$ b. $T: \mathbf{P}_2 \to \mathbb{R}^2$; T(p(x)) = (p(0), p(1))c. $T: \mathbb{R}^3 \to \mathbb{R}^3$; T(x, y, z) = (x+y, x+y, 0)d. $T: \mathbb{R}^3 \to \mathbb{R}^4$; T(x, y, z) = (x, x, y, y)e. $T: \mathbf{M}_{22} \to \mathbf{M}_{22}$; $T\begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a+b & b+c \\ c+d & d+a \end{bmatrix}$ f. $T: \mathbf{M}_{22} \to \mathbb{R}$; $T\begin{bmatrix} a & b \\ c & d \end{bmatrix} = a+d$ g. $T: \mathbf{P}_n \to \mathbb{R}$; $T(r_0+r_1x+\cdots+r_nx^n) = r_n$ h. $T: \mathbb{R}^n \to \mathbb{R}$; $T(r_1, r_2, \dots, r_n) = r_1+r_2+\cdots+r_n$

i.
$$T: \mathbf{M}_{22} \to \mathbf{M}_{22}; T(X) = XA - AX$$
, where
 $A = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$
j. $T: \mathbf{M}_{22} \to \mathbf{M}_{22}; T(X) = XA$, where $A = \begin{bmatrix} 1 & 1 \\ 0 & 0 \end{bmatrix}$

b.
$$\{x^2 - x\}; \{(1, 0), (0, 1)\}$$

d. $\{(0, 0, 1)\}; \{(1, 1, 0, 0), (0, 0, 1, 1)\}$
f. $\left\{ \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \right\}; \{1\}$
h. $\{(1, 0, 0, \dots, 0, -1), (0, 1, 0, \dots, 0, -1), \dots, (0, 0, 0, \dots, 1, -1)\}; \{1\}$
j. $\left\{ \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 1 \\ 1 & 1 \end{bmatrix} \right\};$

Exercise 7.2.3 Let $P: V \to \mathbb{R}$ and $Q: V \to \mathbb{R}$ be linear transformations, where V is a vector space. Define $T: V \to \mathbb{R}^2$ by $T(\mathbf{v}) = (P(\mathbf{v}), Q(\mathbf{v}))$.

- a. Show that T is a linear transformation.
- b. Show that ker $T = \ker P \cap \ker Q$, the set of vectors in both ker P and ker Q.
- b. $T(\mathbf{v}) = \mathbf{0} = (0, 0)$ if and only if $P(\mathbf{v}) = 0$ and $Q(\mathbf{v}) = 0$; that is, if and only if \mathbf{v} is in ker $P \cap \ker Q$.

Exercise 7.2.4 In each case, find a basis

 $B = \{\mathbf{e}_1, \ldots, \mathbf{e}_r, \mathbf{e}_{r+1}, \ldots, \mathbf{e}_n\}$ of V such that $\{\mathbf{e}_{r+1}, \ldots, \mathbf{e}_n\}$ is a basis of ker T, and verify Theorem 7.2.5.

- a. $T : \mathbb{R}^3 \to \mathbb{R}^4$; T(x, y, z) = (x y + 2z, x + y z, 2x + z, 2y 3z)
- b. $T : \mathbb{R}^3 \to \mathbb{R}^4$; T(x, y, z) = (x + y + z, 2x y + 3z, z 3y, 3x + 4z)

b. ker $T = \text{span}\{(-4, 1, 3)\}; B = \{(1, 0, 0), (0, 1, 0), (-4, 1, 3)\}, \text{ im } T = \text{span}\{(1, 2, 0, 3), (1, -1, -3, 0)\}$

Exercise 7.2.5 Show that every matrix X in \mathbf{M}_{nn} has the form $X = A^T - 2A$ for some matrix A in \mathbf{M}_{nn} . [*Hint*: The dimension theorem.]

Exercise 7.2.6 In each case either prove the statement or give an example in which it is false. Throughout, let $T: V \to W$ be a linear transformation where V and W are finite dimensional.

- a. If V = W, then ker $T \subseteq \text{im } T$.
- b. If dim V = 5, dim W = 3, and dim (ker T) = 2, then T is onto.
- c. If dim V = 5 and dim W = 4, then ker $T \neq \{0\}$.
- d. If ker T = V, then $W = \{0\}$.
- e. If $W = \{0\}$, then ker T = V.
- f. If W = V, and im $T \subseteq \ker T$, then T = 0.
- g. If $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ is a basis of V and $T(\mathbf{e}_1) = \mathbf{0} = T(\mathbf{e}_2)$, then $\dim(\operatorname{im} T) \leq 1$.
- h. If $\dim(\ker T) \leq \dim W$, then $\dim W \geq \frac{1}{2} \dim V$.
- i. If T is one-to-one, then dim $V \leq \dim W$.
- j. If dim $V \leq \dim W$, then T is one-to-one.
- k. If T is onto, then dim $V \ge \dim W$.
- l. If dim $V \ge \dim W$, then T is onto.
- m. If $\{T(\mathbf{v}_1), \ldots, T(\mathbf{v}_k)\}$ is independent, then $\{\mathbf{v}_1, \ldots, \mathbf{v}_k\}$ is independent.
- n. If $\{\mathbf{v}_1, \ldots, \mathbf{v}_k\}$ spans V, then $\{T(\mathbf{v}_1), \ldots, T(\mathbf{v}_k)\}$ spans W.
- b. Yes. $\dim(\operatorname{im} T) = 5 \dim(\ker T) = 3$, so $\operatorname{im} T = W$ as $\dim W = 3$.

- d. No. $T=0:\mathbb{R}^2\to\mathbb{R}^2$
- f. No. $T : \mathbb{R}^2 \to \mathbb{R}^2$, T(x, y) = (y, 0). Then ker $T = \operatorname{im} T$
- h. Yes. $\dim V = \dim (\ker T) + \dim (\operatorname{im} T) \le \dim W + \dim W = 2 \dim W$
- j. No. Consider $T : \mathbb{R}^2 \to \mathbb{R}^2$ with T(x, y) = (y, 0).
- l. No. Same example as (j).
- n. No. Define $T : \mathbb{R}^2 \to \mathbb{R}^2$ by T(x, y) = (x, 0). If $\mathbf{v}_1 = (1, 0)$ and $\mathbf{v}_2 = (0, 1)$, then $\mathbb{R}^2 =$ span $\{\mathbf{v}_1, \mathbf{v}_2\}$ but $\mathbb{R}^2 \neq$ span $\{T(\mathbf{v}_1), T(\mathbf{v}_2)\}$.

Exercise 7.2.7 Show that linear independence is preserved by one-to-one transformations and that spanning sets are preserved by onto transformations. More precisely, if $T: V \to W$ is a linear transformation, show that:

- a. If T is one-to-one and $\{\mathbf{v}_1, \ldots, \mathbf{v}_n\}$ is independent in V, then $\{T(\mathbf{v}_1), \ldots, T(\mathbf{v}_n)\}$ is independent in W.
- b. If T is onto and $V = \text{span} \{ \mathbf{v}_1, \dots, \mathbf{v}_n \}$, then $W = \text{span} \{ T(\mathbf{v}_1), \dots, T(\mathbf{v}_n) \}$.
- b. Given \mathbf{w} in W, let $\mathbf{w} = T(\mathbf{v})$, \mathbf{v} in V, and write $\mathbf{v} = r_1\mathbf{v}_1 + \dots + r_n\mathbf{v}_n$. Then $\mathbf{w} = T(\mathbf{v}) = r_1T(\mathbf{v}_1) + \dots + r_nT(\mathbf{v}_n)$.

Exercise 7.2.8 Given $\{\mathbf{v}_1, \ldots, \mathbf{v}_n\}$ in a vector space V, define $T : \mathbb{R}^n \to V$ by $T(r_1, \ldots, r_n) = r_1 \mathbf{v}_1 + \cdots + r_n \mathbf{v}_n$. Show that T is linear, and that:

- a. T is one-to-one if and only if $\{\mathbf{v}_1, \ldots, \mathbf{v}_n\}$ is independent.
- b. *T* is onto if and only if $V = \text{span} \{ \mathbf{v}_1, ..., \mathbf{v}_n \}$.
- b. im $T = \{\sum_i r_i \mathbf{v}_i \mid r_i \text{ in } \mathbb{R}\} = \text{span} \{\mathbf{v}_i\}.$

Exercise 7.2.9 Let $T: V \to V$ be a linear transformation where V is finite dimensional. Show that exactly one of (i) and (ii) holds: (i) $T(\mathbf{v}) = \mathbf{0}$ for some $\mathbf{v} \neq \mathbf{0}$ in V; (ii) $T(\mathbf{x}) = \mathbf{v}$ has a solution \mathbf{x} in V for every \mathbf{v} in V.

Exercise 7.2.10 Let $T: \mathbf{M}_{nn} \to \mathbb{R}$ denote the trace map: $T(A) = \operatorname{tr} A$ for all A in \mathbf{M}_{nn} . Show that dim (ker T) = $n^2 - 1$. _______ T is linear and onto. Hence $1 = \dim \mathbb{R} = \dim (\operatorname{im} T) = \dim (\mathbf{M}_{nn}) - \dim (\ker T) = n^2 - \dim (\ker T)$.

Exercise 7.2.11 Show that the following are equivalent for a linear transformation $T: V \to W$.

1. ker
$$T = V$$

3. $T = 0$
2. im $T = \{0\}$

Exercise 7.2.12 Let *A* and *B* be $m \times n$ and $k \times n$ matrices, respectively. Assume that $A\mathbf{x} = \mathbf{0}$ implies $B\mathbf{x} = \mathbf{0}$ for every *n*-column \mathbf{x} . Show that rank $A \ge \operatorname{rank} B$.

[Hint: Theorem 7.2.4.] _

The condition means $\ker(T_A) \subseteq \ker(T_B)$, so dim $[\ker(T_A)] \leq \dim [\ker(T_B)]$. Then Theorem 7.2.4 gives dim $[\operatorname{im}(T_A)] \geq \dim [\operatorname{im}(T_B)]$; that is, rank $A \geq$ rank B.

Exercise 7.2.13 Let A be an $m \times n$ matrix of rank r. Thinking of \mathbb{R}^n as rows, define $V = \{\mathbf{x} \text{ in } \mathbb{R}^m \mid \mathbf{x}A = \mathbf{0}\}$. Show that dim V = m - r.

Exercise 7.2.14 Consider

$$V = \left\{ \left[\begin{array}{cc} a & b \\ c & d \end{array} \right] \middle| a + c = b + d \right\}$$

- a. Consider $S: \mathbf{M}_{22} \to \mathbb{R}$ with $S\begin{bmatrix} a & b \\ c & d \end{bmatrix} = a + c b d$. Show that S is linear and onto and that V is a subspace of \mathbf{M}_{22} . Compute dim V.
- b. Consider $T: V \to \mathbb{R}$ with $T \begin{bmatrix} a & b \\ c & d \end{bmatrix} = a + c$. Show that T is linear and onto, and use this information to compute dim (ker T).

Exercise 7.2.15 Define $T : \mathbf{P}_n \to \mathbb{R}$ by T[p(x)] = the sum of all the coefficients of p(x).

a. Use the dimension theorem to show that $\dim(\ker T) = n$.

- b. Conclude that $\{x-1, x^2-1, \dots, x^n-1\}$ is a basis of ker *T*.
- b. $B = \{x 1, ..., x^n 1\}$ is independent (distinct degrees) and contained in ker *T*. Hence *B* is a basis of ker *T* by (a).

Exercise 7.2.16 Use the dimension theorem to prove Theorem 1.3.1: If *A* is an $m \times n$ matrix with m < n, the system $A\mathbf{x} = \mathbf{0}$ of *m* homogeneous equations in *n* variables always has a nontrivial solution.

Exercise 7.2.17 Let *B* be an $n \times n$ matrix, and consider the subspaces $U = \{A \mid A \text{ in } \mathbf{M}_{mn}, AB = 0\}$ and $V = \{AB \mid A \text{ in } \mathbf{M}_{mn}\}$. Show that dim $U + \dim V = mn$.

Exercise 7.2.18 Let U and V denote, respectively, the spaces of even and odd polynomials in \mathbf{P}_n . Show that dim U + dim V = n + 1. [*Hint*: Consider $T : \mathbf{P}_n \to \mathbf{P}_n$ where T[p(x)] = p(x) - p(-x).]

Exercise 7.2.19 Show that every polynomial f(x) in \mathbf{P}_{n-1} can be written as f(x) = p(x+1) - p(x) for some polynomial p(x) in \mathbf{P}_n . [*Hint*: Define $T: \mathbf{P}_n \to \mathbf{P}_{n-1}$ by T[p(x)] = p(x+1) - p(x).]

Exercise 7.2.20 Let *U* and *V* denote the spaces of symmetric and skew-symmetric $n \times n$ matrices. Show that dim $U + \dim V = n^2$.

Define $T: \mathbf{M}_{nn} \to \mathbf{M}_{nn}$ by $T(A) = A - A^T$ for all A in \mathbf{M}_{nn} . Then ker T = U and im T = V by Example 7.2.3, so the dimension theorem gives $n^2 = \dim \mathbf{M}_{nn} = \dim (U) + \dim (V)$.

Exercise 7.2.21 Assume that B in \mathbf{M}_{nn} satisfies $B^k = 0$ for some $k \ge 1$. Show that every matrix in \mathbf{M}_{nn} has the form BA - A for some A in \mathbf{M}_{nn} . [*Hint*: Show that $T : \mathbf{M}_{nn} \to \mathbf{M}_{nn}$ is linear and one-to-one where

T(A) = BA - A for each A.]

Exercise 7.2.22 Fix a column $\mathbf{y} \neq \mathbf{0}$ in \mathbb{R}^n and let $U = \{A \text{ in } \mathbf{M}_{nn} \mid A\mathbf{y} = \mathbf{0}\}$. Show that dim U = n(n-1).

Define $T : \mathbf{M}_{nn} \to \mathbb{R}^n$ by $T(A) = A\mathbf{y}$ for all A in \mathbf{M}_{nn} . Then T is linear with ker T = U, so it is enough to show that T is onto (then dim $U = n^2 - \dim(\operatorname{im} T) = n^2 - n$). We have $T(0) = \mathbf{0}$. Let $\mathbf{y} = \begin{bmatrix} y_1 & y_2 & \cdots & y_n \end{bmatrix}^T \neq \mathbf{0}$ in \mathbb{R}^n . If $y_k \neq \mathbf{0}$ let $\mathbf{c}_k = y_k^{-1}\mathbf{y}$, and let $\mathbf{c}_j = \mathbf{0}$ if $j \neq k$. If A = Exercise 7.2.29 Let U be a subspace of a finite $\begin{bmatrix} \mathbf{c}_1 & \mathbf{c}_2 & \cdots & \mathbf{c}_n \end{bmatrix}$, then $T(A) = A\mathbf{y} = y_1\mathbf{c}_1 + \cdots + y_n\mathbf{c}_n$ $y_k \mathbf{c}_k + \cdots + y_n \mathbf{c}_n = \mathbf{y}$. This shows that T is onto, as required.

Exercise 7.2.23 If B in \mathbf{M}_{mn} has rank r, let $U = \{A\}$ in $\mathbf{M}_{nn} | BA = 0$ and $W = \{BA | A \text{ in } \mathbf{M}_{nn}\}$. Show that dim U = n(n-r) and dim W = nr. [*Hint*: Show that U consists of all matrices A whose columns are in the null space of B. Use Example 7.2.7.]

Exercise 7.2.24 Let $T: V \to V$ be a linear transformation where dim V = n. If ker $T \cap \text{im } T = \{0\}$, show that every vector \mathbf{v} in V can be written $\mathbf{v} = \mathbf{u} + \mathbf{w}$ for some \mathbf{u} in ker T and \mathbf{w} in im T. [*Hint*: Choose bases $B \subseteq \ker T$ and $D \subseteq \operatorname{im} T$, and use Exercise 6.3.33.]

Exercise 7.2.25 Let $T : \mathbb{R}^n \to \mathbb{R}^n$ be a linear operator of rank 1, where \mathbb{R}^n is written as rows. Show that there exist numbers a_1, a_2, \ldots, a_n and b_1, b_2, \ldots, b_n such that T(X) = XA for all rows X in \mathbb{R}^n , where

$$A = \begin{bmatrix} a_1b_1 & a_1b_2 & \cdots & a_1b_n \\ a_2b_1 & a_2b_2 & \cdots & a_2b_n \\ \vdots & \vdots & & \vdots \\ a_nb_1 & a_nb_2 & \cdots & a_nb_n \end{bmatrix}$$

[*Hint*: im $T = \mathbb{R}\mathbf{w}$ for $\mathbf{w} = (b_1, \ldots, b_n)$ in \mathbb{R}^n .]

Exercise 7.2.26 Prove Theorem 7.2.5.

Exercise 7.2.27 Let $T: V \to \mathbb{R}$ be a nonzero linear transformation, where $\dim V = n$. Show that there is a basis $\{\mathbf{e}_1, \ldots, \mathbf{e}_n\}$ of V so that $T(r_1\mathbf{e}_1 + r_2\mathbf{e}_2 +$ $\cdots + r_n \mathbf{e}_n = r_1.$

Exercise 7.2.28 Let $f \neq 0$ be a fixed polynomial of degree $m \geq 1$. If p is any polynomial, recall that $(p \circ f)(x) = p[f(x)]$. Define $T_f : P_n \to P_{n+m}$ by $T_f(p) = p \circ f.$

- a. Show that T_f is linear.
- b. Show that T_f is one-to-one.

dimensional vector space V.

- a. Show that $U = \ker T$ for some linear operator $T: V \to V.$
- b. Show that $U = \operatorname{im} S$ for some linear operator $S: V \to V$. [*Hint*: Theorem 6.4.1 and Theorem 7.1.3.]
- b. By Lemma 6.4.2, let $\{\mathbf{u}_1, ..., \mathbf{u}_m, ..., \mathbf{u}_n\}$ be a basis of V where $\{\mathbf{u}_1, \ldots, \mathbf{u}_m\}$ is a basis of U. By Theorem 7.1.3 there is a linear transformation $S: V \to V$ such that $S(\mathbf{u}_i) = \mathbf{u}_i$ for $1 \le i \le m$, and $S(\mathbf{u}_i) = \mathbf{0}$ if i > m. Because each \mathbf{u}_i is in im $S, U \subseteq \text{im } S$. But if $S(\mathbf{v})$ is in im *S*, write $\mathbf{v} = r_1 \mathbf{u}_1 + \cdots + r_m \mathbf{u}_m + \cdots + r_n \mathbf{u}_n$. Then $S(\mathbf{v}) = r_1 S(\mathbf{u}_1) + \dots + r_m S(\mathbf{u}_m) = r_1 \mathbf{u}_1 +$ $\cdots + r_m \mathbf{u}_m$ is in U. So im $S \subseteq U$.

Exercise 7.2.30 Let *V* and *W* be finite dimensional vector spaces.

- a. Show that $\dim W < \dim V$ if and only if there exists an onto linear transformation $T: V \rightarrow$ W. [*Hint*: Theorem 6.4.1 and Theorem 7.1.3.]
- b. Show that $\dim W \ge \dim V$ if and only if there exists a one-to-one linear transformation T: $V \rightarrow W$. [*Hint*: Theorem 6.4.1 and Theorem 7.1.3.]

Exercise 7.2.31 Let *A* and *B* be $n \times n$ matrices, and assume that $AXB = 0, X \in \mathbf{M}_{nn}$, implies X = 0. Show that A and B are both invertible. [Hint: Dimension Theorem.]